



**NAVAJO NATION DEPARTMENT OF JUSTICE**  
**OFFICE OF THE ATTORNEY GENERAL**

ETHEL B. BRANCH  
Attorney General

HEATHER CLAH  
Deputy Attorney General

**DEPARTMENT OF JUSTICE**  
**INITIAL ELIGIBILITY DETERMINATION**  
**FOR NAVAJO NATION FISCAL RECOVERY FUNDS**

**RFS/HK Review #:** \_\_\_\_\_

**Date & Time Received:** \_\_\_\_\_

**Date & Time of Response:** \_\_\_\_\_

**Entity Requesting FRF:** \_\_\_\_\_

**Title of Project:** \_\_\_\_\_

**Administrative Oversight:** \_\_\_\_\_

**Amount of Funding Requested:** \_\_\_\_\_

**Eligibility Determination:**

- ☐ FRF eligible  
☐ FRF ineligible  
☐ Additional information requested

**FRF Eligibility Category:**

- ☐ (1) Public Health and Economic Impact  
☐ (2) Premium Pay  
☐ (3) Government Services/Lost Revenue  
☐ (4) Water, Sewer, Broadband Infrastructure

**U.S. Department of Treasury Reporting Expenditure Category:** \_\_\_\_\_

- ☐ Missing Form
- ☐ Supporting documentation missing
- ☐ Project will not be completed by 12/31/2026
- ☐ Ineligible purpose
- ☐ Submitter failed to timely submit CARES reports
- ☐ Additional information submitted is insufficient to make a proper determination
- ☐ Expenditure Plan incomplete
- ☐ Funds will not be obligated by 12/31/2024
- ☐ Incorrect Signatory
- ☐ Inconsistent with applicable NN or federal laws

Name of DOJ Reviewer: \_\_\_\_\_

Randy Cz

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to [arpa@nndoj.org](mailto:arpa@nndoj.org).** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

Page 2 of 2



# ROCK SPRINGS CHAPTER

Tse'chí'ízhí

P.O Box 4608 Yahtahey, NM 87375

Phone#: (505) 371-5100 Fax#: (505) 371-5103

E-mail: rocksprings@navajochapters.org

RSC 03-16-019

## RESOLUTION OF THE ROCK SPRINGS CHAPTER

**Recall Resolution NO. RSC 11-10-007, To Amen and Insert New Language, Approving the request to provide Registered Voters Housing Material Assistance utilizing the Delegate Damon Regional Expenditure Plan Funds in the amount of \$600,000.00.**

### WHEREAS:

1. The Rock Springs Chapter is a duly recognized Chapter of the Navajo Nation Government pursuant to 26 NNC Section 3 and 11 NNC, Part I, Section 10; and
2. Pursuant to Title 26, NNC Section 1(B), Rock Springs Chapter is vested with the authority to review all matters affecting the community and to make appropriate correction when necessary and make recommendations to the Navajo Nation and other Local Agencies for appropriate actions; and
3. The Rock Springs Chapter, as part of Honorable Delegate Seth Damon's Region, was allocated funds from the American Rescue Plan Act (ARPA) Delegate Regional Expenditure Plan to provide services to the Community of Rock Springs Chapter; and
4. Rock Springs Chapter is submitting a funding proposal in the amount of \$600,000.00 to provide Registered Voters of Rock Springs Chapter with Housing Material Assistance funding to improve their homes for the family's health and safety; and
5. Rock Springs Chapter shall work with local community members who are registered voters of the Chapter, to assist them with housing material assistance to improve their homes to make improvements for the health and safety of family members.

### NOW, THEREFORE BE IT RESOLVED THAT:

1. Rock Springs Chapter approves and respectfully submits the funding proposal packet and budget in the amount of \$ 600,000.00 for the Rock Springs Chapter Housing Material Assistance.
2. Rock Springs Chapter will work with local community members who are registered voters of the Chapter, to assist them with housing material assistance to improve their homes to make improvements for the health and safety of family members.
3. Rock Springs Chapter affirms that the Chapter will only use awarded Fiscal Recovery Funds and implement this FRF Expenditure Plan in compliance with the ARPA Regulations, and with all applicable Federal and Navajo Nation Laws, regulations, and policies.



# ROCK SPRINGS CHAPTER

Tse'chí'ízhí

P.O Box 4608 Yahtahey, NM 87375

Phone#: (505) 371-5100 Fax#: (505) 371-5103

E-mail: rocksprings@navajochapters.org

## CERTIFICATION

WE, HEREBY CERTIFY that the foregoing resolution was duly considered by the Rock Springs Chapter at a duly called community chapter meeting at Rock Springs, of the Navajo Nation, (McKinley County), New Mexico at which a quorum was present and that same was approved by a vote of 15 In Favor, 0 Opposed, 1 Abstained, on the **16<sup>th</sup>** day of **March 2023**.

MOTIONED: Sandra Help

SECONDED: Barbara O'Keefe

Harriet K Becenti  
Harriet K Becenti, Chapter President  
Rock Springs Chapter

Jasper Long  
Jasper Long, Vice-President  
Rock Springs Chapter

Latonya M. Johnson  
Latonya M. Johnson, Secretary/Treasurer  
Rock Springs Chapter

**Rocksprings**

**Chapter**

**Housing**

**Materials**

**Assistance**



**THE NAVAJO NATION  
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN  
FOR NON-GOVERNANCE CERTIFIED CHAPTERS**

**Part 1. Identification of parties.**

Non-Governance Certified Chapter requesting FRF: Rock Springs Chapter Date prepared: 11/10/2022

Chapter's Post Office Box 4608 phone/email: (505) 371-5100  
mailing address: Yahtahey, New Mexico website (if any): rocksprings@navajochapters.org

This Form prepared by: Sherman Yazzie, CSC phone/email: (505) 371-5100  
syazzie@nnchapters.org

CONTACT PERSON'S name and title

CONTACT PERSON'S info

Title and type of Project: Housing Materials Assistance \$600,000.00

Chapter President: Harriett K. Becenti phone & email: (505) 879-9593

Chapter Vice-President: Jasper Long phone & email: (505) 870-2443

Chapter Secretary: Latonya Johnson phone & email: (505) 219-6378

Chapter Treasurer: Latonya Johnson phone & email: (505) 219-6378

Chapter Manager or CSC: Sherman Yazzie phone & email: (505) 371-5100 syazzie@nnchapters.org

DCCD/Chapter ASO: Guarena Adeky phone & email: (505) 488-8476 gadeky@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): Navajo Nation Division of Community Development, Rock Springs Chapter, Construction contractors and/or engineers. ☐ document attached

Amount of FRF requested: \$600,000.00 FRF funding period: January 2023-September 30, 2026  
Indicate Project starting and ending/deadline date

**Part 2. Expenditure Plan details.**

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

Housing Materials Assistance, generate a suitable application for registered member of Rock Springs Chapter who will be considered eligible for Material Assistance, (i.e. doors, windows, roofing materials, etc.). Providing stable Housing repair for those in need will help eliminate the spread of COVID-19 among families and individuals, which will help combat COVID-19 pandemic.  
The Housing Materials Assistance will serve the Rock Springs Community members.

☐ document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

The Housing Materials Assistance will benefit the Rock Springs community for families and individuals in need of home repair, to stop the spread of COVID-19.

☐ document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

## APPENDIX A

Program(s) or Project(s) by December 31, 2026:

Project will be completed by September 2026 if funds are to be disbursed in January 2023. Rock Springs Chapter & DCD ARPA will conduct procurement for housing materials assistance & complying with CJN-29-22 legislation guidelines/ reports. Collaborating with with our Gallup/Baca ASC SPPS Guarena Adeky,

☐ document attached

(d) Identify who will be responsible for implementing the Program or Project:

The Division of Community Development ARPA, NNFRF, & Non-LGA Chapter.

☐ document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

The Rock Springs Chapter Home Owner will be fully responsible for the operations and maintenance cost.

☐ document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

New Expenditure Category 2.18, Housing Support; Other Housing Assistance.

The Interim Final Rule, the Navajo Nation is permitted to use these funds to address the economic impacts of COVID-19 by building stronger communities.

☐ document attached

### Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

1. Chapter Resolution

☒ Chapter Resolution attached

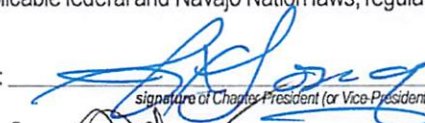
### Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

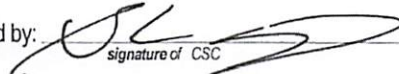
Chapter's  
Preparer:

  
signature of Preparer/CONTRACT PERSON

Approved by:

  
signature of Chapter President (or Vice-President)

Approved by:

  
signature of CSC

Approved by:

  
signature of Chapter ASC

Approved to submit  
for Review:

  
signature of DCD Director



FY 2023

**THE NAVAJO NATION  
PROGRAM BUDGET SUMMARY**

Page 1 of 3  
BUDGET FORM 1

PART I. Business Unit No.: <u>K - (New)</u>		Program Title: <u>Rock Springs Housing Material Assistance</u>		Division/Branch: <u>Division of Community Developer</u>			
Prepared By: <u>Sherman Yazzie, CSC</u>		Phone No.: <u>(505) 371-5100</u>		Email Address: <u>syazzie@nnchapters.org</u>			

PART II. FUNDING SOURCE(S)	Fiscal Year /Term	Amount	% of Total	PART III. BUDGET SUMMARY	Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total
NNFRF FUNDS	<u>11/23 - 09/30/26</u>	600,000.00	100%					
CJN-29-22				2001 Personnel Expenses				
				3000 Travel Expenses				
				3500 Meeting Expenses				
				4000 Supplies				
				5000 Lease and Rental				
				5500 Communications and Utilities				
				6000 Repairs and Maintenance				
				6500 Contractual Services	6		600,000	<u>600,000</u>
				7000 Special Transactions				
				8000 Public Assistance				
				9000 Capital Outlay				
				9500 Matching Funds				
				9500 Indirect Cost				
				TOTAL		\$0.00	600,000.00	<u>600,000</u>
				PART IV. POSITIONS AND VEHICLES				
						(D)	(E)	
				Total # of Positions Budgeted:		<u>0</u>	<u>0</u>	
				Total # of Vehicles Budgeted:		<u>0</u>	<u>0</u>	
TOTAL:		\$600,000.00	100%					

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

SUBMITTED BY: <u>Sonlatsa Jim-Martin, ASC Department Manger</u> <div style="text-align: center;"> <u>Sonlatsa Jim</u>            Program Manager's Printed Name  <u>Sonlatsa Jim</u>            Program Manager's Signature and Date         </div>	APPROVED BY: <u>Dr. Pearl Yellowman, Director</u> <div style="text-align: center;"> <u>Dr. Pearl Yellowman</u>            Division Director / Branch Chief's Printed Name  <u>Dr. Pearl Yellowman 12.2.22</u>            Division Director / Branch Chief's Signature and Date         </div>
--	--



FY 2023

**THE NAVAJO NATION  
PROGRAM PERFORMANCE CRITERIA**

Page 2 of 3  
BUDGET FORM 2

**PART I. PROGRAM INFORMATION:**

Business Unit No.: K - (New)

Program Name/Title:

Rock Springs Housing Material Assistance

**PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:****PART III. PROGRAM PERFORMANCE CRITERIA:****1. Goal Statement:**

Section 3: CJN-29-22 DRP Resolution establishing Business Unit Numbers

Program Performance Measure/Objective:

DCD ARPA/NNFRF/OMB Setup Business Unit Numbers

1st QTR		2nd QTR		3rd QTR		4th QTR	
Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual

		6		6		6	
--	--	---	--	---	--	---	--

**2. Goal Statement:**

Housing Assistance Procurement

Program Performance Measure/Objective:

DCD ARPA Prepare Task Order

		6		6		6	
--	--	---	--	---	--	---	--

**3. Goal Statement:**

Award Notice

Program Performance Measure/Objective:

Select A/E vendor

		6		6		6	
--	--	---	--	---	--	---	--

**4. Goal Statement:**

Produce Construction Schedule

Program Performance Measure/Objective:

		6		6		6	
--	--	---	--	---	--	---	--

**5. Goal Statement:**

Monitoring &amp; Close-Out

Program Performance Measure/Objective:

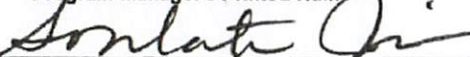
Monitor project through completion and prepare close-out reports

		6		6		6	
--	--	---	--	---	--	---	--

**PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.**

Sonlasta Jim-Martin, ASC Department Manager

Program Manager's Printed Name



Program Manager's Signature and Date

Dr. Pearl Yellowman, Director

Division Director/Branch Chief's Printed Name



Division Director/Branch Chief's Signature and Date

FY 2023

**THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION**

Page 3 of 3  
**BUDGET FORM 4**

<b>PART I. PROGRAM INFORMATION:</b>			
Program Name/Title: <u>Rock Springs Housing Material Assistance</u>		Business Unit No.: <u>K - (New)</u>	
<b>PART II. DETAILED BUDGET:</b>			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
6	9050 • Building  Building materials to be purchased to assist community members in improving their homes. Labor force/Contractor to be hired to complete projects.	600,000	600,000
<b>TOTAL</b>		600,000	600,000



**THE NAVAJO NATION  
PROJECT BUDGET SCHEDULE**

Page \_\_\_\_ of \_\_\_\_  
**PROJECT FORM**

<b>PART I. Business Unit No.:</b> <u>K - (New)</u> <b>Project Title:</b> <u>Housing Material Assistance</u> <i>Rock Spring Chapter</i> <b>Project Description:</b> <u>Provide stable housing repair for those n need to eliminate spread of COVID-19</u> <b>Check one box:</b> <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification															<b>PART II. Project Information</b> <b>Project Type:</b> <u>Construction</u> <b>Planned Start Date:</b> <u>1/1/2023</u> <b>Planned End Date:</b> <u>9/30/2026</u> <b>Project Manager:</b> <u>Sherman Yazzie, CSC</u>																																																																																																																																																		
<b>PART III.</b> List Project Task separately; such as Plan, Design, Construct, Equip or Furnish.		<b>PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.</b> <table border="1" style="width:100%; border-collapse: collapse; text-align: center;"> <tr> <th colspan="14">FY <u>2023</u></th> <th colspan="14">FY <u>2024</u></th> <th colspan="6">Expected Completion Date if project exceeds 8 FY Qtrs.</th> </tr> <tr> <th colspan="4">1st Qtr.</th> <th colspan="4">2nd Qtr.</th> <th colspan="4">3rd Qtr.</th> <th colspan="4">4th Qtr.</th> <th colspan="4">1st Qtr.</th> <th colspan="4">2nd Qtr.</th> <th colspan="4">3rd Qtr.</th> <th colspan="4">4th Qtr.</th> <th colspan="6">Date <u>09/30/2026</u></th> </tr> <tr> <th>O</th><th>N</th><th>D</th><th>J</th><th>F</th><th>M</th> <th>A</th><th>M</th><th>J</th><th>Jul</th><th>A</th><th>S</th> <th>O</th><th>N</th><th>D</th><th>J</th><th>F</th><th>M</th> <th>A</th><th>M</th><th>J</th><th>Jul</th><th>A</th><th>S</th> <th>O</th><th>N</th><th>D</th><th>J</th><th>F</th><th>M</th> </tr> <tr> <td colspan="30"> <div style="display: flex; flex-direction: column;"> <div>Planning/ Application process</div> <div>Contact Vendors</div> <div>Construct</div> <div>Construction Administration</div> <div>Close-Out</div> </div> </td> </tr> </table>																												FY <u>2023</u>														FY <u>2024</u>														Expected Completion Date if project exceeds 8 FY Qtrs.						1st Qtr.				2nd Qtr.				3rd Qtr.				4th Qtr.				1st Qtr.				2nd Qtr.				3rd Qtr.				4th Qtr.				Date <u>09/30/2026</u>						O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M	<div style="display: flex; flex-direction: column;"> <div>Planning/ Application process</div> <div>Contact Vendors</div> <div>Construct</div> <div>Construction Administration</div> <div>Close-Out</div> </div>																													
FY <u>2023</u>														FY <u>2024</u>														Expected Completion Date if project exceeds 8 FY Qtrs.																																																																																																																																					
1st Qtr.				2nd Qtr.				3rd Qtr.				4th Qtr.				1st Qtr.				2nd Qtr.				3rd Qtr.				4th Qtr.				Date <u>09/30/2026</u>																																																																																																																																	
O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M																																																																																																																																				
<div style="display: flex; flex-direction: column;"> <div>Planning/ Application process</div> <div>Contact Vendors</div> <div>Construct</div> <div>Construction Administration</div> <div>Close-Out</div> </div>																																																																																																																																																																	
<b>PART V.</b> Expected Quarterly Expenditures		\$				\$				\$				\$				\$				\$				\$				PROJECT TOTAL																																																																																																																																			
		75,000.00				75,000.00				75,000.00				75,000.00				75,000.00				75,000.00				75,000.00				\$600,000.00																																																																																																																																			

FOR OMB USE ONLY:    Resolution No: \_\_\_\_\_    FMIS Set Up Date: \_\_\_\_\_    Company No: \_\_\_\_\_    OMB Analyst: \_\_\_\_\_

# ROCK SPRINGS CHAPTER

Tse'chi'izhi

P.O Box 4608 Yahtahey, NM 87375

Phone#: (505) 371-5100 Fax#: (505) 371-5103

E-mail: rocksprings@navajochapters.org

RSC 11-10-007

## RESOLUTION OF THE ROCK SPRINGS CHAPTER

**Approving the request to provide Registered Voters Housing Material Assistance utilizing the Delegate Damon Regional Expenditure Plan Funds in the amount of \$600,000.00.**

### WHEREAS:

1. The Rock Springs Chapter is a duly recognized Chapter of the Navajo Nation Government pursuant to 26 NNC Section 3 and 11 NNC, Part I, Section 10; and
2. Pursuant to Title 26, NNC Section 1(B), Rock Springs Chapter is vested with the authority to review all matters affecting the community and to make appropriate correction when necessary and make recommendations to the Navajo Nation and other Local Agencies for appropriate actions; and
3. The Rock Springs Chapter, as part of Honorable Delegate Seth Damon's Region, was allocated funds from the American Rescue Plan Act (ARPA) Delegate Regional Expenditure Plan to provide services to the Community of Rock Springs Chapter; and
4. Rock Springs Chapter is submitting a funding proposal in the amount of \$600,000.00 to provide Registered Voters of Rock Springs Chapter with Housing Material Assistance funding to improve their homes for the family's health and safety; and
5. Rock Springs Chapter shall work with local community members who are registered voters of the Chapter, to assist them with housing material assistance to improve their homes to make improvements for the health and safety of family members.

### NOW, THEREFORE BE IT RESOLVED THAT:

1. Rock Springs Chapter approves and respectfully submits the funding proposal packet and budget in the amount of \$ 600,000.00 for the Rock Springs Chapter Housing Material Assistance.
2. Rock Springs Chapter will work with local community members who are registered voters of the Chapter, to assist them with housing material assistance to improve their homes to make improvements for the health and safety of family members.





# ROCK SPRINGS CHAPTER

Tse'chi'izhi

P.O. Box 4608 Yahtahey, NM 87375

Phone#: (505) 371-5100 Fax#: (505) 371-5103

E-mail: rocksprings@navajochapters.org



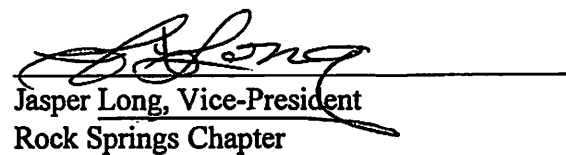
## CERTIFICATION

WE, HEREBY CERTIFY that the foregoing resolution was duly considered by the Rock Springs Chapter at a duly called community chapter meeting at Rock Springs, of the Navajo Nation, (McKinley County), New Mexico at which a quorum was present and that same was approved by a vote of 8 In Favor, 0 Opposed, 1 Abstained, on the 10<sup>th</sup> day of November 2022.

MOTIONED: TISHA CURTIS

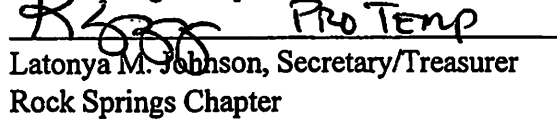
SECONDED: TRISHA CURTIS

  
Harriet K Becenti, Chapter President

  
Jasper Long, Vice-President

Rock Springs Chapter

Rock Springs Chapter

  
Latonya M. Johnson, Secretary/Treasurer  
Rock Springs Chapter